



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2633  
Examiner: To be assigned

In Re PATENT APPLICATION Of:

Applicants: Shuichi NAWAE et al.

Serial No.: 10/700,457

Filed: November 5, 2003

For: OPTICAL MODULE AND METHOD  
FOR MANUFACTURING THE SAME

Attorney Ref: KAW 106

**INFORMATION  
DISCLOSURE  
STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(3), i.e., prior to a first Office Action on the merits.

Attached are copies of three Japanese patent publications, which were cited by the attached Japanese Office Action, dated April 18, 2006, issued in connection with a counterpart Japanese patent application. Also attached is an English-language translation and/or abstracts of the Japanese Office Action and the respective ones of the publications, from which any relevance of the Japanese patent publication may be gleaned. The publications are listed on the attached Form PTO-1449. Also listed for consideration on the Form PTO-1449 is a U.S. patent publication Number 5,110,065 dated May 5, 1992, which is the U.S. counterpart of the Japanese patent publication Number 05-092867 from which any further relevance of the Japanese patent publication can be gleaned. Consideration of the listed documents respectfully is requested.

Should any fee be required, however, the Commissioner is hereby authorized to charge such fee to our Deposit Account No. 18-0002 and notify us accordingly.

Respectfully submitted,

June 26, 2006  
Date

SMR:ac

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FORM PTO-1449

INFORMATION DISCLOSURE  
STATEMENT

Atty Docket

KAW 106

Application No.

10/700,457

Applicant

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Group Unit

2633

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	5,110,065	05/05/92	Cawelti et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	05-092867	04/16/93	Japan			Abstract
	AJ	04-120783	04/21/92	Japan			Abstract & Partial Translation
	AK	63-161408	07/05/88	Japan			Abstract & Partial Translation
	AL						
	AM						

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AO	
	AP	

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

